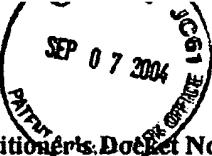


Practitioner's Doct No. U 013390-0

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: HITOSHI OTA, et al

Serial No.: 09/832,171

Group No.: 1714

Filed: April 10, 2001

Examiner: CALLIE E. SHOSHO

For: PROCESS FOR THE PREPARATION OF PIGMENT DISPERSION, PIGMENT DISPERSION BY THE SAME, INK JET RECORDING INK COMPRISING THE SAME, AND RECORDING METHOD AND RECORDED MATERIAL USING THE SAME

**RESPONSE UNDER
37 C.F.R. 1.116
EXPEDITED PROCEDURE
EXAMINING GROUP
1714**

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AMENDMENT OR RESPONSE AFTER FINAL REJECTION-FIRST PAGE

In response to the final action of June 1, 2004, please amend the application

as follows:

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is **mandatory**.
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I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. 1.8(a)

with sufficient postage as first class mail.

37 C.F.R. 1.10*

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TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office, to (703) 872-9306

Signature

CLIFFORD J. MASS

(Type or print name of person certifying)

Date: September 3, 2004

09/08/2004 EAREGAY1 09000003 120425 09832171
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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Amendment or Response after Final Rejection—First Page) 9-20.1

PATENT APPLICATION FEE DETERMINATION RECORD
Effective October 1, 2000

Application or Docket Number

09/832171

CLAIMS AS FILED - PART I

		(Column 1)	(Column 2)
TOTAL CLAIMS		..	
FOR	NUMBER FILED	NUMBER EXTRA	
TOTAL CHARGEABLE CLAIMS	28 minus 20 =	6	
INDEPENDENT CLAIMS	minus 3 =		
MULTIPLE DEPENDENT CLAIM PRESENT			<input type="checkbox"/>

SMALL ENTITY		OTHER THAN SMALL ENTITY	
RATE	Fee	RATE	Fee
BASIC FEE	355.00	OR BASIC FEE	710.00
X\$ 9 =		OR X\$ 18 =	108
X40 =		OR X80 =	
+135 =		OR +270 =	
TOTAL		OR TOTAL	818

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

		(Column 1)	(Column 2)	(Column 3)
AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR
		Total	Minus	.. 33 = 0
		Independent	Minus	.. 3 = 0
		FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		<input type="checkbox"/>

SMALL ENTITY		OTHER THAN SMALL ENTITY	
RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
X\$ 9 =		OR X\$ 18 =	
X40 =		OR X80 =	
+135 =		OR +270 =	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	0

		(Column 1)	(Column 2)	(Column 3)
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR
		Total	Minus	.. 33 = 27
		Independent	Minus	.. 3 = 0
		FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		<input type="checkbox"/>

ADDITIONAL FEE		ADDITIONAL FEE	
RATE		RATE	ADDITIONAL FEE
X\$ 9 =		OR X\$ 18 =	486
X40 =		OR X80 =	
+135 =		OR +270 =	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	486

		(Column 1)	(Column 2)	(Column 3)
AMENDMENT C		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR
		Total	Minus	.. =
		Independent	Minus	.. =
		FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM		<input type="checkbox"/>

ADDITIONAL FEE		ADDITIONAL FEE	
RATE		RATE	ADDITIONAL FEE
X\$ 9 =		OR X\$ 18 =	
X40 =		OR X80 =	
+135 =		OR +270 =	
TOTAL ADDIT. FEE		OR TOTAL ADDIT. FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.